

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 96790P478 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/520879</b>
INTERNATIONAL APPLICATION NO. PCT/JP2004/011605	INTERNATIONAL FILING DATE 12 August 2004	PRIORITY DATE CLAIMED 8/15/03
TITLE OF INVENTION BIOMETRIC RECOGNITION APPARATUS		
APPLICANT(S) FOR DO/EO/US Toshishige Shimamura, et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items(5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)).
20. ☒ Other items or information.

Copies of the following forms: PCT/IB/304 (1 p.); PCT/ISA/210 (2 pp.); and PCT/ISA/237 (3 pp.); Transmittal of Formal Drawings (1 p.); Figures: 35 pp.; 84 Figs.; Request For Priority (1 p.); and return postcard

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/520879</b>		INTERNATIONAL APPLICATION NO. PCT/JP2004/011605		ATTORNEY'S DOCKET NUMBER 96790P478	
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21. The following fees are submitted:				Applicant Use	Office use only
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5):</b>					
<input checked="" type="checkbox"/>	a) Basic national fee .....	\$300.00		\$ 300.00	
<input checked="" type="checkbox"/>	b) Examination fee .....	\$200.00		\$ 200.00	
<input checked="" type="checkbox"/>	c) Search fee .....	\$500.00		\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$1000.00	\$ 1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
146 - 100 =	46 / 50 =	1	x \$250.00	\$ 250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS      NUMBER FILED      NUMBER EXTRA      RATE					
Total claims		32- 20 =	12 x 50	\$ 600.00	
Independent claims		1 - 3 =	0 x 200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 360	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1600.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 1600.00	
<b>SUBTOTAL =</b>				\$ 1600.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/>				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 1600.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1890.00	
Amount to be refunded:				\$	
charged:				\$	

  

a. ☒ A check in the amount of \$ 1890.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 02-2666 in the amount of \$ 1890.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

  

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.

  

<p>SEND ALL CORRESPONDENCE TO:          Blakely, Sokoloff, Taylor &amp; Zafman LLP          12400 Wilshire Boulevard, 7th Floor          Los Angeles, CA 90025          Telephone: (310) 207-3800</p>	<p>DATE <u>1/7/05</u></p>	<p>SIGNATURE <u>Eric S. Hyman</u>          NAME  <u>30,139</u>          REGISTRATION NUMBER</p>
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10/520879

Ex. Mail No.: EV372846969US  
DOCKET NO.: 96790P478

DT12 Rec'd PCT/PTO 07 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

TOSHISHIGE SHIMAMURA, ET AL.

Application No.:

Filed:

For: **BIOMETRIC RECOGNITION  
APPARATUS**

Art Group:

Examiner:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 35 sheets including 84 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: 1/10/07

  
Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (310) 207-3800

10/520 879

Rec'd PCT/PTO 07 JAN 2005

DOCKET NO.: 96790P478

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

TOSHISHIGE SHIMAMURA, ET AL.

Application No.:

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Art Group:

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Alexandria, VA 22313-1450

**REQUEST FOR PRIORITY**

Sir:

Applicant respectfully requests a convention priority for the above-captioned application, namely:

COUNTRY	APPLICATION NUMBER	DATE OF FILING
Japan	2003-293806	15 August 2003
Japan	2003-314557	5 September 2003
Japan	2003-314565	5 September 2003
Japan	2003-397004	27 November 2003

☐ A certified copy of the document is being submitted herewith.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: 1/7/05

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Eric S. Hyman, Reg. No. 30,139